

Section
#3

THE UNITED STATES PATENT AND TRADEMARK OFFICE
Applicants: William Michael RAIKE

Serial No.: 09/912,403

Filing Date: July 26, 2001

For: ENCRYPTION PROCESSING FOR STREAMING MEDIA

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION UNDER 37 C.F.R. §1.53(b)**

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Attention: APPLICATION BRANCH
MISSING PARTS OF APPLICATION

Sir:

With respect to the above-identified patent application, the following are filed herewith in response to the Notice to File Missing Parts of Nonprovisional Application under 37 C.F.R. §1.53(b), mailed August 31, 2001, copy attached.

- ☒ Declaration in compliance with 37 C.F.R. §1.63.
- ☐ Declaration in compliance with 37 C.F.R. §1.63, attached to copy of application as originally filed.
- ☒ Small Entity Declaration under 37 C.F.R. §§1.9 and 1.27 (2 sheets in total).
- ☒ Certified copy of New Zealand Application No. 506002, filed July 26, 2000, the priority of which is claimed under 35 U.S.C. §119.
- ☒ Assignment Document and cover letter, with \$40.00 fee for recordation of Assignment.
- ☒ If a Petition for Extension of time is necessary and the Petition and/or the check is not enclosed, this will act as the Petition and applicant herewith petitions the Commissioner to extend the time for response and charge and fees necessary under 37 CFR 1.17 (a) - (d) to Deposit Account No. 06-1358. The Commissioner is also authorized to charge payment of any other additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1358.

Serial No.: 09/912,403

X Filing Fee, calculated as follows:

	<u>Small Entity</u>	<u>Large Entity</u>	
Basic Filing Fee	\$355.00	\$710.00	355.00
Total Claims <u>8</u> - 20 = 0	(x \$9) =	(x \$18) =	.00
Indep. Claims <u>2</u> - 3 = 0	(x \$40) =	(x \$80) =	.00
<u>X</u> Surcharge: Late Filing	+ \$65	+ \$130	65.00
			⁺
TOTAL FILING FEES \$			420.00

Check No. 55335, in the amount of \$460.00, is enclosed to cover the filing fee, late filing surcharge and fee for recordation of Assignment. The Commissioner is hereby authorized to debit or credit any fees set forth in §1.16 or §1.17 to Deposit Account No. 06-1358 as needed in order to effect proper filing of the application. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

JACOBSON HOLMAN PLLC

By


John C. Holman
Reg. No. 22,769

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Atty. Docket No.: P65847US1
Date: October 31, 2001
JCH/cmf



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/912,403	07/26/2001		P65847US1

CONFIRMATION NO. 4247

FORMALITIES LETTER



OC00000006504488

JACOBSON HOLMAN
PROFESIONAL LIMITED LIABILITY COMPANY
400 SEVENTH STREET, N.W.
WASHINGTON, DC 20004

Date Mailed: 08/31/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION


FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/01/2001 HHOLDER1 00000040 061358 09912403

02 FC:201 370.00 OP
03 FC:205 15.00 CH 50.00 OP